

LSU HEALTH SCIENCES CENTER – NEW ORLEANS BIOGRAPHICAL DATA FORM

CODING DATA

1. Name _____ 2. SS# _____ 3a. Race _____ 3b. Sex _____
 _____ American Indian/Alaskan Native
 _____ Black African American
 _____ Native Hawaiian/Pacific Isl.
 _____ Asian _____ White
 _____ Other _____
 _____ Hispanic/Latino
 _____ Non-Hispanic/Latino

4. Address _____ 5. Home Phone _____

_____ 6. Martial Status _____

7. Birth Date _____ 8. Birth City _____ Birth State _____

9. Country of Citizenship _____ Visa Status _____ Permanent Resident Number _____

EDUCATION DATA

10. High School Graduate/GED? Highest Grade Completed (1-18+) _____

11. College/University Attended	Degree	Major	Date Received
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

BACKGROUND

(Please include current application, curriculum vitae, or resume)

If you answer yes to any of the following questions, please provide additional information under item number 16. Yes No

12. Do you have a relative employed by LSU? (If yes, provide name, relationship, department, and position held.) Yes No

13. Have you previously been employed by any LSU campus (If yes, indicate campus, original appointment date, and total length of LSU service in months.) Yes No

14. Do you have prior State Service? (If yes, indicate name of agency, position(s) held and dates of service.) Yes No

15. Are you a member of any professional organization, society, or hold licenses in any area? (If so, indicate name of organization or society, license held and certificate number, if applicable.) Yes No

WORK EXPERIENCE

Employer	Location	Dates	Position/Title
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

EMERGENCY NOTIFICATION DATA: In case of emergency, please notify the following individual:

Name _____	Relationship _____
Address _____	Home Phone _____
_____	Work Phone _____

16. Remarks: If you answered "yes" to questions 12-15, please provide the requested information in the following spaces. The space may also be used to expand on any of the items listed on the top of the form. Please ensure that the item number is indicated for the area of continuation.

Signature _____ Date _____

	Employee Withholding Exemption Certificate (L-4)
	Louisiana Department of Revenue

Purpose: Complete form L-4 so that your employer can withhold the correct amount of state income tax from your salary.

Instructions: Employees who are subject to state withholding should complete the personal allowances worksheet indicating the number of withholding personal exemptions in Block A and the number of dependency credits in Block B.

- Employees must file a new withholding exemption certificate within 10 days if the number of their exemptions decreases, except if the change is the result of the death of a spouse or a dependent.
- Employees may file a new certificate any time the number of their exemptions increases.
- Line 8 should be used to increase or decrease the tax withheld for each pay period. Decreases should be indicated as a negative amount.

Penalties will be imposed for willfully supplying false information or willful failure to supply information that would reduce the withholding exemption.

This form must be filed with your employer. If an employee fails to complete this withholding exemption certificate, the employer must withhold Louisiana income tax from the employee's wages without exemption.

Note to Employer: Keep this certificate with your records. If you believe that an employee has improperly claimed too many exemptions or dependency credits, please forward a copy of the employee's signed L-4 form with an explanation as to why you believe that the employee improperly completed this form and any other supporting documentation. The information should be sent to the Louisiana Department of Revenue, Criminal Investigations Division, PO Box 2389, Baton Rouge, LA 70821-2389.

Block A

- Enter "0" to claim neither yourself nor your spouse. You may enter "0" if you are married, and have a working spouse or more than one job to avoid having too little tax withheld.
- Enter "1" to claim yourself if you did not claim this exemption in connection with other employment, or if your spouse has not claimed your exemption. Enter "1" to claim one personal exemption if you will file as head of household.
- Enter "2" to claim yourself and your spouse.

A.

Block B

- Enter the number of dependents, not including yourself or your spouse, whom you will claim on your tax return. If no dependents are claimed, enter "0."

B.

✂

Cut here and give the bottom portion of certificate to your employer. Keep the top portion for your records.

Form L-4 Louisiana Department of Revenue	Employee's Withholding Allowance Certificate		
1. Type or print first name and middle initial	Last name		
2. Social Security Number	3. <input type="checkbox"/> No exemptions or dependents claimed <input type="checkbox"/> Single <input type="checkbox"/> Married		
4. Home address (number and street or rural route)			
5. City	State	ZIP	
6. Total number of exemptions claimed in Block A			6.
7. Total number of dependents claimed in Block B			7.
8. Increase or decrease in the amount to be withheld each pay period. Decreases should be indicated as a negative amount.			8.
Employee's signature			Date
The following is to be completed by employer.			
9. Employer's name and address		10. Employer's state withholding account number	

Form W-4 (2010)

Purpose. Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay. Consider completing a new Form W-4 each year and when your personal or financial situation changes.

Exemption from withholding. If you are exempt, complete **only** lines 1, 2, 3, 4, and 7 and sign the form to validate it. Your exemption for 2010 expires February 16, 2011. See Pub. 505, Tax Withholding and Estimated Tax.

Note. You cannot claim exemption from withholding if (a) your income exceeds \$950 and includes more than \$300 of unearned income (for example, interest and dividends) and (b) another person can claim you as a dependent on his or her tax return.

Basic instructions. If you are not exempt, complete the **Personal Allowances Worksheet** below. The worksheets on page 2 further adjust your withholding allowances based on itemized deductions, certain credits, adjustments to income, or two-earners/multiple jobs situations.

Complete all worksheets that apply. However, you may claim fewer (or zero) allowances. For regular wages, withholding must be based on allowances you claimed and may not be a flat amount or percentage of wages.

Head of household. Generally, you may claim head of household filing status on your tax return only if you are unmarried and pay more than 50% of the costs of keeping up a home for yourself and your dependent(s) or other qualifying individuals. See Pub. 501, Exemptions, Standard Deduction, and Filing Information, for information.

Tax credits. You can take projected tax credits into account in figuring your allowable number of withholding allowances. Credits for child or dependent care expenses and the child tax credit may be claimed using the **Personal Allowances Worksheet** below. See Pub. 919, How Do I Adjust My Tax Withholding, for information on converting your other credits into withholding allowances.

Nonwage income. If you have a large amount of nonwage income, such as interest or dividends, consider making estimated tax

payments using Form 1040-ES, Estimated Tax for Individuals. Otherwise, you may owe additional tax. If you have pension or annuity income, see Pub. 919 to find out if you should adjust your withholding on Form W-4 or W-4P.

Two earners or multiple jobs. If you have a working spouse or more than one job, figure the total number of allowances you are entitled to claim on all jobs using worksheets from only one Form W-4. Your withholding usually will be most accurate when all allowances are claimed on the Form W-4 for the highest paying job and zero allowances are claimed on the others. See Pub. 919 for details.

Nonresident alien. If you are a nonresident alien, see Notice 1392, Supplemental Form W-4 Instructions for Nonresident Aliens, before completing this form.

Check your withholding. After your Form W-4 takes effect, use Pub. 919 to see how the amount you are having withheld compares to your projected total tax for 2010. See Pub. 919, especially if your earnings exceed \$130,000 (Single) or \$180,000 (Married).

Personal Allowances Worksheet (Keep for your records.)

A Enter "1" for **yourself** if no one else can claim you as a dependent **A** _____

B Enter "1" if: } **B** _____

- You are single and have only one job; or
- You are married, have only one job, and your spouse does not work; or
- Your wages from a second job or your spouse's wages (or the total of both) are \$1,500 or less.

C Enter "1" for your **spouse**. But, you may choose to enter "-0-" if you are married and have either a working spouse or more than one job. (Entering "-0-" may help you avoid having too little tax withheld.) **C** _____

D Enter number of **dependents** (other than your spouse or yourself) you will claim on your tax return **D** _____

E Enter "1" if you will file as **head of household** on your tax return (see conditions under **Head of household** above) **E** _____

F Enter "1" if you have at least \$1,800 of **child or dependent care expenses** for which you plan to claim a credit **F** _____

(Note. Do **not** include child support payments. See Pub. 503, Child and Dependent Care Expenses, for details.)

G **Child Tax Credit** (including additional child tax credit). See Pub. 972, Child Tax Credit, for more information.

- If your total income will be less than \$61,000 (\$90,000 if married), enter "2" for each eligible child; then **less** "1" if you have three or more eligible children.
- If your total income will be between \$61,000 and \$84,000 (\$90,000 and \$119,000 if married), enter "1" for each eligible child plus "1" **additional** if you have six or more eligible children. **G** _____

H Add lines A through G and enter total here. **(Note.** This may be different from the number of exemptions you claim on your tax return.) ► **H** _____

For accuracy, **complete all worksheets that apply.** }

- If you plan to **itemize or claim adjustments to income** and want to reduce your withholding, see the **Deductions and Adjustments Worksheet** on page 2.
- If you have **more than one job** or are **married and you and your spouse both work** and the combined earnings from all jobs exceed \$18,000 (\$32,000 if married), see the **Two-Earners/Multiple Jobs Worksheet** on page 2 to avoid having too little tax withheld.
- If **neither** of the above situations applies, **stop here** and enter the number from line H on line 5 of Form W-4 below.

----- Cut here and give Form W-4 to your employer. Keep the top part for your records. -----

Form W-4 Department of the Treasury Internal Revenue Service	<h2 style="margin: 0;">Employee's Withholding Allowance Certificate</h2> <p style="margin: 0;">► Whether you are entitled to claim a certain number of allowances or exemption from withholding is subject to review by the IRS. Your employer may be required to send a copy of this form to the IRS.</p>	OMB No. 1545-0074 2010
1 Type or print your first name and middle initial. Last name		2 Your social security number
Home address (number and street or rural route)		3 <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Married, but withhold at higher Single rate. Note. If married, but legally separated, or spouse is a nonresident alien, check the "Single" box.
City or town, state, and ZIP code		4 If your last name differs from that shown on your social security card, check here. You must call 1-800-772-1213 for a replacement card. ► <input type="checkbox"/>
5 Total number of allowances you are claiming (from line H above or from the applicable worksheet on page 2)		5 _____ 6 \$ _____
6 Additional amount, if any, you want withheld from each paycheck		
7 I claim exemption from withholding for 2010, and I certify that I meet both of the following conditions for exemption. <ul style="list-style-type: none"> • Last year I had a right to a refund of all federal income tax withheld because I had no tax liability and • This year I expect a refund of all federal income tax withheld because I expect to have no tax liability. If you meet both conditions, write "Exempt" here ► 7 _____		
Under penalties of perjury, I declare that I have examined this certificate and to the best of my knowledge and belief, it is true, correct, and complete.		
Employee's signature (Form is not valid unless you sign it.) ►		Date ►
8 Employer's name and address (Employer: Complete lines 8 and 10 only if sending to the IRS.)		9 Office code (optional) 10 Employer identification number (EIN)

Deductions and Adjustments Worksheet

Note. Use this worksheet *only* if you plan to itemize deductions or claim certain credits or adjustments to income.

- 1** Enter an estimate of your 2010 itemized deductions. These include qualifying home mortgage interest, charitable contributions, state and local taxes, medical expenses in excess of 7.5% of your income, and miscellaneous deductions **1** \$ _____
- 2** Enter:

{	\$11,400 if married filing jointly or qualifying widow(er)	}	2	\$		
	\$8,400 if head of household						
	\$5,700 if single or married filing separately						
- 3** **Subtract** line 2 from line 1. If zero or less, enter “-0-” **3** \$ _____
- 4** Enter an estimate of your 2010 adjustments to income and any additional standard deduction. (Pub. 919) **4** \$ _____
- 5** **Add** lines 3 and 4 and enter the total. (Include any amount for credits from *Worksheet 6* in Pub. 919.) **5** \$ _____
- 6** Enter an estimate of your 2010 nonwage income (such as dividends or interest) **6** \$ _____
- 7** **Subtract** line 6 from line 5. If zero or less, enter “-0-” **7** \$ _____
- 8** **Divide** the amount on line 7 by \$3,650 and enter the result here. Drop any fraction **8** _____
- 9** Enter the number from the **Personal Allowances Worksheet**, line H, page 1 **9** _____
- 10** **Add** lines 8 and 9 and enter the total here. If you plan to use the **Two-Earners/Multiple Jobs Worksheet**, also enter this total on line 1 below. Otherwise, **stop here** and enter this total on Form W-4, line 5, page 1 **10** _____

Two-Earners/Multiple Jobs Worksheet (See *Two earners or multiple jobs* on page 1.)

Note. Use this worksheet *only* if the instructions under line H on page 1 direct you here.

- 1** Enter the number from line H, page 1 (or from line 10 above if you used the **Deductions and Adjustments Worksheet**) **1** _____
- 2** Find the number in **Table 1** below that applies to the **LOWEST** paying job and enter it here. **However**, if you are married filing jointly and wages from the highest paying job are \$65,000 or less, do not enter more than “3.” **2** _____
- 3** If line 1 is **more than or equal to** line 2, subtract line 2 from line 1. Enter the result here (if zero, enter “-0-”) and on Form W-4, line 5, page 1. **Do not** use the rest of this worksheet **3** _____

Note. If line 1 is **less than** line 2, enter “-0-” on Form W-4, line 5, page 1. Complete lines 4–9 below to figure the additional withholding amount necessary to avoid a year-end tax bill.

- 4** Enter the number from line 2 of this worksheet **4** _____
- 5** Enter the number from line 1 of this worksheet **5** _____
- 6** **Subtract** line 5 from line 4 **6** _____
- 7** Find the amount in **Table 2** below that applies to the **HIGHEST** paying job and enter it here **7** \$ _____
- 8** **Multiply** line 7 by line 6 and enter the result here. This is the additional annual withholding needed **8** \$ _____
- 9** Divide line 8 by the number of pay periods remaining in 2010. For example, divide by 26 if you are paid every two weeks and you complete this form in December 2009. Enter the result here and on Form W-4, line 6, page 1. This is the additional amount to be withheld from each paycheck **9** \$ _____

Table 1

Table 2

Married Filing Jointly		All Others		Married Filing Jointly		All Others	
If wages from LOWEST paying job are—	Enter on line 2 above	If wages from LOWEST paying job are—	Enter on line 2 above	If wages from HIGHEST paying job are—	Enter on line 7 above	If wages from HIGHEST paying job are—	Enter on line 7 above
\$0 - \$7,000 -	0	\$0 - \$6,000 -	0	\$0 - \$65,000	\$550	\$0 - \$35,000	\$550
7,001 - 10,000 -	1	6,001 - 12,000 -	1	65,001 - 120,000	910	35,001 - 90,000	910
10,001 - 16,000 -	2	12,001 - 19,000 -	2	120,001 - 185,000	1,020	90,001 - 165,000	1,020
16,001 - 22,000 -	3	19,001 - 26,000 -	3	185,001 - 330,000	1,200	165,001 - 370,000	1,200
22,001 - 27,000 -	4	26,001 - 35,000 -	4	330,001 and over	1,280	370,001 and over	1,280
27,001 - 35,000 -	5	35,001 - 50,000 -	5				
35,001 - 44,000 -	6	50,001 - 65,000 -	6				
44,001 - 50,000 -	7	65,001 - 80,000 -	7				
50,001 - 55,000 -	8	80,001 - 90,000 -	8				
55,001 - 65,000 -	9	90,001 -120,000 -	9				
65,001 - 72,000 -	10	120,001 and over	10				
72,001 - 85,000 -	11						
85,001 -105,000 -	12						
105,001 -115,000 -	13						
115,001 -130,000 -	14						
130,001 - and over	15						

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. Internal Revenue Code sections 3402(f)(2) and 6109 and their regulations require you to provide this information; your employer uses it to determine your federal income tax withholding. Failure to provide a properly completed form will result in your being treated as a single person who claims no withholding allowances; providing fraudulent information may subject you to penalties. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation, to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their tax laws, and using it in the National Directory of New Hires. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by Code section 6103.

The average time and expenses required to complete and file this form will vary depending on individual circumstances. For estimated averages, see the instructions for your income tax return.

If you have suggestions for making this form simpler, we would be happy to hear from you. See the instructions for your income tax return.

CODE OF CONDUCT

As an LSUHSC-NO employee or affiliate, I understand that important role trust plays in the accomplishment of our mission. In the execution of my duties I will strive to act in ways that earn the trust of my coworkers, and the people I serve.

I will always act with integrity and carry out my duties in accordance with the highest ethical standards. I will always perform my duties solely for the purpose, benefit and interest of the university and those it serves, and shall avoid any conflict or appearance of conflict with those interests.

In all my decisions, I will strive to make the choices based on sound professional judgment that product the best possible outcomes for our students, our patients, our coworkers, and the people of Louisiana.

I will keep myself well educated on the latest developments in my field of expertise and will complete all training requirements mandated by law, my profession, and LSUHSC-NO.

I will treat our students, patients and coworkers with the same degree of respect and dignity in the performance of my duties that I would wish to receive if the situations were reversed.

I will perform my duties in compliance with all applicable federal and state laws and regulations, and university policies and procedures.

I will look continually for ways to improve the performance of my duties, and to ensure my work is always responsive to the conditions around me and needs of the people who depend on me.

I am an agent for improvement in LSUHSC-NO. I will always report cost-saving and improvement opportunities to those who have authority to act on these. I will report adverse events promptly to mitigate any harmful effects and maintain the university's image of trust and integrity. I will cooperate fully with requests from oversight agencies. I will report non-compliant behavior to the Office of Compliance Programs and understand, if so desired, my report will be kept confidential.

I will perform all my duties to the best of my ability to ensure the highest degree of excellence in everything I do. I will educate and encourage others through my example.

By signing below I acknowledge receipt of the LSUHSC-NO Code of Conduct, and, I understand that adherence to the LSUHSC-NO Code of Conduct is a condition of my employment and/or affiliation with the university, and, my failure to adhere to the Code of Conduct can result in disciplinary action up to and including termination of employment and/or affiliation.

Print Name (Legal Name): _____

Signature: _____

Date: ____/____/____

Employee ____ Student ____

Department: _____

Department Telephone Number: _____

Please return this signed page to:

Office of Compliance Programs
2000 Tulane Avenue TF-4-2
New Orleans, LA

Attn.: Kelly Guth

Data Protection

IMPORTANT – Public Records Act 44

Occasionally LSU Health Sciences Center receives a request for information under Title 44, Public Records and Records Act. Responding to such a request may involve disclosing data from your LSUHSC Payroll/Personnel file.

You may elect to have your home address and home telephone number made “confidential” and thus not subject to disclosure under the Public Records Act. Please complete the data below and return this form to the Benefits Service Center, Room 608, Resource Center. A copy of your election will be placed in your personnel file.

DATA PROTECTION DESIGNATION

___ I would like to have my home address and telephone number kept confidential. I am electing to keep the data protection option.

___ I do not want my home address and telephone number designated as confidential. It can be released when designated by a signed consent form. I am waiving the data protection option.

Name (please print): _____

Signature: _____

Home Address: _____

Home Telephone Number: _____ Social Security Number: _____

Date: _____

INVITATION FOR SELF IDENTIFICATION
FOR
PERSONS WITH DISABILITIES
SPECIAL DISABLED VETERANS
VETERANS OF THE VIETNAM ERA
AND MILITARY RESERVES

LSU Health Sciences Center-New Orleans is a Federal Contractor subject to the requirements of the Vietnam Era Veterans Readjustment Act of 1974, as amended (38USC 2012), and to the requirements of Section 503 of the Rehabilitation Act of 1973 as amended, and their implementing regulations.

These Acts and regulations require that LSU Health Sciences Center-New Orleans take affirmative action to employ, and to advance in employment, qualified persons with disabilities, special disabled veterans, and veterans of the Vietnam era.

If you are a person with a disability, a special disabled veteran, or a veteran of the Vietnam era, and would like to be considered under the Affirmative Action Program, please tell us. Provision of this information is voluntary. If you do not wish to identify yourself at this time as a person with a disability, a special disabled veteran, or a veteran of the Vietnam era, you will not be subject to any adverse treatment. If you do wish to identify yourself, the information provided will be used only in accordance with the Acts and regulations. This means that the information provided will be:

1. Kept confidential, except that:
 - A. Supervisors and managers may be informed of any restrictions of work or duties of persons with disabilities or special disabled veterans, and of any necessary accommodations;
 - B. First aid and safety personnel may be informed, when and to the extent appropriate, if a particular handicap or disability may require emergency treatment;
 - C. Government officials investigating compliance with the Acts shall be informed;
2. Used only in accordance with the Acts and their implementing regulations; and
3. Will be used to ensure proper placement. In order to assist us in making proper placement, we ask that if you have a handicap or disability which might affect your job performance or create a hazard to yourself or others in connection with the job for which you are applying, you inform us;
 - A. What skills and/or procedures you use or intend to use to perform the job notwithstanding the disability, and
 - B. What safety accommodations we could make which would enable you to perform the job properly and safely. This might include special equipment, changes in the physical layout of the job, elimination of certain non-essential duties, or other accommodations.

I certify that I have read the above "INVITATION OF SELF IDENTIFICATION" and that I understand its terms.

I further attest, by checking the appropriate space and signing below, that I am:

A person with a handicap/disability

A special disabled veteran

A veteran of the Vietnam era

A member of the Military Reserves

None of the above

* Please check all that apply. Should your status change, please notify HR immediately.

Name (PLEASE PRINT) _____ SOCIAL SECURITY NO _____

Signature _____ Date _____

VETERANS SELF-IDENTIFICATION FORM

LSU Health Sciences Center-New Orleans is a Federal Contractor subject to the requirements of the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended (38USC 2012), and to the requirements of Section 503 of the Rehabilitation Act of 1973 as amended, and their implementing regulations.

These Acts and regulations require that LSU Health Sciences Center-New Orleans take affirmative action to employ, and to advance in employment, qualified disabled veterans, special disabled veterans, and veterans of the Vietnam era.

If you are a special disabled veteran, or a veteran of the Vietnam era, and would like to be considered under the Affirmative Action Program, please tell us. Provision of this information is voluntary. If you do not wish to identify yourself at this time a special disabled veteran, or veteran of the Vietnam era, you will not be subject to any adverse treatment. If you do wish to identify yourself, the information provided will be used only in accordance with the Acts and the regulations.

Veteran Status (41CFR60-250 and 41CFR60-300) please check all of the following categories that apply to you.

I further attest, by checking the appropriate space and signing below, that I am:

- Disabled Veteran** means (i) A veteran of the U.S. military, ground, naval or air service who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Secretary of Veterans Affairs, or (ii) a person who was discharged or released from active duty because of a service-connected disability.

- Special disabled veteran** means: 1. A veteran of the U.S. military, ground, naval or air service who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Department of Veterans' Affairs for a disability (A) rated at 30 percent or more, or (B) rated at 10 or 20 percent in the case of a veteran who has been determined under Section 38 U.S.C. 3106 to have a serious employment handicap.

2. A person who was discharged or released from active duty because of a service-connected disability.

- Veteran of the Vietnam era** means 1. Served on active duty in the U.S. military, ground, naval or air service for a period of more than 180 days and who was discharged or released with other than a dishonorable discharge, if any part of such active duty was performed: (A) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or (B) Between August 5, 1964, and May 7, 1975, in all other cases.

2. Was discharged or released from active duty in the U.S. military, ground, naval or air service for a service-connected disability if any part of such active duty was performed: (A) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or (B) Between August 5, 1964, and May 7, 1975, in any other location

- Other protected veteran means:** Veterans who served on active duty in the U.S. military, ground, naval or air service during a war or in a campaign or expedition for which a campaign badge has been authorized

- Recently separated veteran means:** Any veteran who served on active duty in the U.S. military, ground, naval or air service during the **one-year period** beginning on the date of such veteran's discharge or release from active duty (41CFR 60-250)

Date of Discharge _____

VETERANS SELF-IDENTIFICATION FORM

- Recently separated veteran means:** Any veteran who served on active duty in the U.S. military, ground, naval or air service during the **three-year period** beginning on the date of such veteran's discharge or release from active duty (41CFR 60-300)

Date of Discharge _____

- Armed forces service medal veteran** means a veteran who, while serving on active duty in the U.S. military, ground, naval or air service, participated in a U.S. military operation for which an Armed Forces service medal was awarded pursuant to Executive Order 12985 (61 FR 1209, 3 CFR, 1996 Comp., p. 159).
- Active Reserve**
- Inactive Reserve**
- Retired Military**
- No Military Service**
- I do not wish to Self Identify**

I certify that I have read the above "Veterans Self Identification Form" and that I understand its terms.

Name _____	Signature _____
Employee ID _____	Military Branch _____
School/Division _____	Department _____
Contact Phone _____	Email Address _____

LSU Health Sciences Center Bank Deposit Authorization

Complete Entire Page
(Attach a Copy of Voided Check)

Name: _____ Date: _____

Social Security Number: _____

It is understood that this banking procedure is a courtesy extended by LSU Health Sciences Center and DOES NOT GUARANTEE the bank's posting of the deposit by any given date.

Begin Deposit: _____

Name of Bank: _____

Address: _____

City, State, Zip: _____

Account Name: _____

(As shown on bank statement)

Checking Savings Account # _____

Deposit Amount _____

(Net Pay or an Amount)

Classification: Classified Faculty or Unclassified Resident Student

Employee's Signature _____



Health Sciences Center

NEW ORLEANS

I acknowledge that I have read and understand the LSUHSC-NO Policy and Procedure for Recoupment of Overpayment and that if I am overpaid, the overpayment shall be recouped in accordance with the Policy. I further understand and hereby agree and authorize LSUHSC-NO to recover any amount overpaid to me by reducing my future payroll checks so that the overpayment will be repaid or recouped within a reasonable number of months [not to exceed twelve months].

I also understand that failure to comply with this Policy is cause for disciplinary action and/or termination.

Employee Signature _____ Date _____

Print Name _____ Social Security Number _____

EFFECTIVE DECEMBER 1, 2005
NOTICE REGARDING LOUISIANA OFFICE OF RISK MANAGEMENT
WORKERS' COMPENSATION INSURANCE
LOUISIANA SECOND INJURY FUND
POST-OFFER, MEDICAL QUESTIONNAIRE
E-2 FORM

This Notice and Procedures regarding the Louisiana Second Injury Fund Post-Offer, Pre-existing Conditions Medical Inquiry Questionnaire (E-2) are to be distributed with the form to all State agencies insured for workers' compensation by the Office of Risk Management. The purpose of the E-2 form is to request pre-existing medical condition information, in accordance with Louisiana R.S. 23:1208.1 of the Workers' Compensation Law.

The form will be used only in the event the employee experiences a work-related injury and becomes eligible for workers' compensation benefits. The Second Injury Fund statute allows for reimbursement of a portion of the funds spent on workers' compensation claims if the employer can show it knowingly retained or knowingly hired an employee with a pre-existing disability. To establish this fact, ORM requires all employees to complete the attached questionnaire upon hire and once every two years thereafter. Employees who have not previously completed an E-2 form should do so now. Agencies are to immediately destroy ALL previous versions of the E-2 form and begin using this form.

IMPORTANT: The completed E-2 form MUST be treated as confidential medical information and kept in a Second Injury Fund Medical file separate from the employee's personnel file. It must be used only in the event an employee receives workers' compensation benefits, and for the specific purpose of submitting a claim to the Second Injury Fund. If the employee sustains a work-related accident, the agency of employment must notify ORM that there is a completed E-2 form on file at the same time that it is notified of the Employers' First Report of Occupational Injury or Disease Form (E-1).

The Americans with Disabilities Act (ADA) permits such medical inquiries only in the "post offer" stage of employment. This is the period between the time when an applicant is given a job and before starting work. Therefore, the employer should only require the completion of this form after the offer of employment is made. Furthermore, the information obtained from this form cannot be used to discriminate against qualified individuals with disabilities who can perform the essential functions of the job, with or without accommodation. Your agency should consult its own legal counsel regarding any questions about the appropriate use of this form.

R.S. 23:1208.1 of the Louisiana Workers' Compensation Law reads:

Nothing in this title shall prohibit an employer from inquiring about previous injuries, disabilities, or other medical conditions and the employee shall answer truthfully; failure to answer truthfully shall result in the employee's forfeiture of benefits under this chapter, provided said failure to answer directly relates to the medical condition for which a claim for benefits is made or affects the employer's ability to receive reimbursement from the Second Injury Fund. This Section shall not be enforceable unless the written form on which the inquiries about previous medical conditions are made contains a notice advising the employee that his failure to answer truthfully may result in forfeiture of workers' compensation benefits under R.S. 23:1208.1 Such notice shall be prominently displayed in bold faced blocked lettering of no less than ten point type.

**PROCEDURES FOR SOLICITATION AND MAINTENANCE
OF
LOUISIANA SECOND INJURY FUND QUESTIONNAIRE
PRE-EXISTING CONDITIONS MEDICAL INQUIRY**

1. Read the NOTICE regarding Workers' Compensation Insurance Second Injury Fund, Post-Offer, Medical Questionnaire.
2. All State agencies should require, after an offer of employment is made, and every two years thereafter, the completion of the LOUISIANA SECOND INJURY FUND PRE-EXISTING CONDITIONS MEDICAL INQUIRY form (E-2).
3. The completed E-2 form must be signed and dated by the employee and by a representative of the agency, placed in an envelope and immediately sealed. The envelope should be sent out along with the form, so that the form can immediately be protected from public view. The completed E-2 form MUST be treated as confidential medical information and kept in a Second Injury Fund Medical file separate from the employee's personnel file.
4. The envelope containing the completed E-2 form must be clearly labeled. A sample is below.

sample label

LOUISIANA SECOND INJURY FUND QUESTIONNAIRE POST-OFFER, PRE-EXISTING CONDITIONS MEDICAL INQUIRY John Q. Public SSN: _ _ _ _ _ CONFIDENTIAL MEDICAL INFORMATION
--

5. In the event the employee sustains a work-related injury or illness, a statement must be attached to the E-1 (Employer's Final Report of Occupational Injury or Disease) indicating there is a completed E-2 form on file with the employer. This notification will be followed up with a visit from the representative filing claims for the Second Injury Fund.
6. The representative will unseal the envelope and make a copy of the E-2 form to file a claim with the Second Injury Fund. The original form will be placed back in the same envelope, sealed, and placed back into the confidential medical file.
7. Steps 5 and 6 above are to be followed each time there is a work related injury, even if the injured worker has filed or will file multiple claims.
8. These procedures shall apply to both the one-page E-2 form previously solicited as well as to this new, revised E-2 form.

Name: _____

Date: _____

Agency/Department: _____

Position: _____

**LOUISIANA SECOND INJURY FUND
POST OFFER, PRE-EXISTING CONDITIONS, INJURIES OR ILLNESSES
MEDICAL INQUIRY (E-2)**

NOTICE TO EMPLOYEES:

Your employer is committed to providing Workers' Compensation benefits, in accordance with state law, if you sustain an employment-related injury. This form requests medical information and will be kept confidential and separate from your personnel file. It will be used only in the event you experience a work-related injury and become eligible for Workers' Compensation benefits. The employer requires that all employees complete this questionnaire upon hire and every two years thereafter. The information is needed because if a work-related injury or disability is caused or made worse by a pre-existing condition, your employer may be able to seek reimbursement of the benefits paid from the Louisiana Second Injury Fund. This reimbursement would not reduce your workers' compensation benefits. In order to be considered for reimbursement, an employer must show it knowingly hired or knowingly retained an employee with a pre-existing disability. Disclosure of a pre-existing condition shall not be used for any discriminatory purpose. **THE FAILURE TO ANSWER TRUTHFULLY ANY OF THE QUESTIONS ON THIS FORM MAY RESULT IN THE FORFEITURE OF WORKERS' COMPENSATION BENEFITS UNDER LA.R.S.23:1208.1.**

SECTION 1: DO YOU HAVE OR HAVE YOU EVER HAD ANY OF THE FOLLOWING?

Do not leave any blank unanswered. Please provide explanations for all "yes" responses under Remarks.

<u>YES</u>	<u>NO</u>		<u>YES</u>	<u>NO</u>	
___	___	Amputation (foot, leg, arm, hand, or total loss thereof)	___	___	Loss of Hearing (more than 75%)
___	___	Loss of Use of Limbs	___	___	Loss of Sight (of one or both eyes or a partial loss of uncorrected vision)
___	___	Ankylosis of Joints	___	___	Mental Disorders
___	___	Arteriosclerosis	___	___	Mental Retardation
___	___	Arthritis	___	___	Multiple Sclerosis
___	___	Asbestosis	___	___	Muscle, Ligament or Tendon Injury
___	___	Asthma	___	___	Muscular Dystrophy
___	___	Back/Neck Problem	___	___	Nervous Disorders
___	___	Brain Damage	___	___	Numbness of Extremities
___	___	Bronchitis	___	___	Parkinson's Disease
___	___	Cancer	___	___	Psychoneurotic Disability (treatment in a recognized medical or mental institution)
___	___	Cardiac Disease	___	___	Reflex Sympathetic Dystrophy
___	___	Carpal Tunnel Syndrome	___	___	Repetitive Motion Injury
___	___	Cerebral Vascular Accident	___	___	Residual Disability from Polio
___	___	Chronic Headaches	___	___	Rheumatism
___	___	Chronic Osteomyelitis	___	___	Rotator Cuff Injury
___	___	Compressed Air Sequealae	___	___	Ruptured Intervertebral Disc
___	___	Diabetes	___	___	Silicosis
___	___	Dizziness	___	___	Spinal Fusion
___	___	Double Vision (blurred sight)	___	___	Stroke
___	___	Emphysema	___	___	Sugar in Urine
___	___	Epilepsy	___	___	Surgical Removal of Intervertebral Disc
___	___	Head Injury	___	___	Thrombophlebitis
___	___	Heart Condition	___	___	Thoracic Outlet Syndrome
___	___	Heavy Metal Poisoning	___	___	Thyroid Condition
___	___	Hemophilia	___	___	"Trick" Knee or Shoulder
___	___	High/Low Blood Pressure	___	___	Tuberculosis
___	___	Hodgkin's Disease	___	___	Varicose Veins
___	___	Hyperinsulinism	___	___	
___	___	Hypertension	___	___	
___	___	Ionizing Radiation Injury	___	___	
___	___	Kidney Disorder	___	___	

REMARKS: If you answered "yes" to any question above, indicate the nature of the injury/illness, name and address of the treating health care provider, area of specialty and approximate date/year of the illness/injury.

SECTION 2: PLEASE ANSWER THE FOLLOWING QUESTIONS AND PROVIDE AS MUCH INFORMATION AS POSSIBLE.

1. Has any doctor ever restricted your activities due to injury, disability or medical condition?

YES NO

If yes, please describe the reason for the restriction, the type of restrictions, whether the restrictions were temporary or permanent, and whether you presently have any restrictions on your physical activities.

2. Have you ever been assessed any percentage of permanent disability to any part of your body?

YES NO

If yes, please explain:

3. Are you presently or have you ever been under the care of a doctor, chiropractor, or other health care provider for any serious injury, disability or medical condition?

YES NO

If yes, please list the condition, injury or illness(s) being treated, the name of the doctor(s), field of specialty, address and telephone number, and dates of treatment.

4. Are you presently or have you ever taken any medication for any serious injury, disability or medical condition?

YES NO

If yes, please list the name or type of medication, the medical condition being treated, and the name, address and telephone number of the physician who prescribed the medication, area of specialty, and dates of treatment.

5. Have you ever had surgery (other than cosmetic) to any part of your body?

YES NO

If yes, please list the part(s) of the body operated on, the type of operation performed, the date (or approximate date), the hospital, and the name, address, and phone number of the doctor performing the surgery (if known).

6. Have you ever received treatment for your head, neck, back or extremities (arms, wrists, legs, knees, etc.) from a doctor, chiropractor, physical therapist or other health care provider?

YES NO

If yes, please list the name, address, and phone number of all doctors, chiropractors, physical therapists, and other health care providers who provided such treatment, the dates of treatment and the diagnosis provided.

7. Are you aware of any physical condition or injury that might impair or limit your ability to work in this position?

YES NO

If yes, please describe the condition or injury

8. Have you ever received workers' compensation benefits for an injury that occurred at work?

YES NO

If yes, please list the name of the employer, the nature of the injury and the dates, and the dates you received compensation.

I HAVE READ ALL 3 PAGES OF THE LOUISIANA SECOND INJURY FUND POST OFFER OF EMPLOYMENT MEDICAL INQUIRY. I FULLY UNDERSTAND AND HAVE TRUTHFULLY AND FULLY ANSWERED ALL OF THE QUESTIONS, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

I UNDERSTAND THAT MY FAILURE TO TRUTHFULLY ANSWER ANY OF THE ABOVE QUESTIONS MAY RESULT IN THE FORFEITURE OF WORKERS' COMPENSATION AND MEDICAL BENEFITS UNDER THE LOUISIANA WORKERS' COMPENSATION STATUTE (LA.R.S.23:1208.1).

SIGNATURE: _____

DATE: _____

WITNESS: _____

DATE: _____

Act 372

Selective Service Registration for Hiring

Act 372 of the 1999 Regular Session of the Legislature became effective August 15, 1999. It requires that any male who is required to register with the Selective Service for a federal draft must do so before he is eligible to be hired in either a state classified or unclassified position.

Act 372

To amend and reenact R.S. 43:33, relative to civil service, to provide relative to employment in the state civil service; to require proof of draft registration to be eligible for certain classified and unclassified state civil service employment; and to provide for related matters.

Be it enacted by the Legislature of Louisiana:

Section 1.R.S. 42:33 is hereby amended and reenacted to read as follows:

- ❖ 33. State civil service positions; Selective Service System registration required
 - A. Except as provided in Subsections B and C of this Section, no person who is required to register for the federal draft under Section C of the Military Selective Service Act (50 U.S.C. App. 453) shall be eligible for employment or appointment in a state civil service position, whether classified or unclassified, until such person has registered for such draft, as evidenced by a statement of compliance pursuant to rules and regulations promulgated by the State Civil Service Commission.
 - B. A veteran of the armed forces of the United States may submit a copy of his discharge papers or his discharge certificate in lieu of the statement of compliance required by Subsection A of this section.
 - C. A person who has not registered for the federal draft, as provided in Subsection A of this Section shall be eligible for employment or appointment in a state civil service position if the requirement for the person to register has terminated or become inapplicable to the person. The State Civil Service Commission may adopt rules for documentation of termination or inapplicability of such requirement.

Approved by the Governor, June 16, 1999
Published in the Official Journal of the State; July 13, 1999

In summary, this law requires LSUHSC to ask all male applicants between the ages of 18 and 25 if they are registered for the draft. If they are not, and one of the exemptions listed in the above statute is not applicable, the person cannot be hired until they register for the draft. A person can register online at <http://www.sss.gov>.

Name: _____

Social Security Number: _____

Date of Birth: _____

Selective Service No.; if applicable: _____

Signature: _____

CURRENT RETIREMENT STATUS

This form must be completed and returned to Human Resources Management with your other employment papers in order for your appointment to be processed.

1.) Have you ever contributed to any State retirement system in Louisiana?
 YES NO

2.) If YES, indicate which System:

Teachers' Retirement System of Louisiana (TRSL)

LA State Employees Retirement System (LASERS)

TRSL Optional Retirement Plan (ORP)

TIAA-CREF

VALIC

ING-AETNA

Other - Please specify _____

3.) If YES, where were you employed when you contributed to this retirement System?
Please give dates and name(s) of employer(s)

From _____ To _____ Employer _____

From _____ To _____ Employer _____

4.) If YES, Please indicate current status in the system:

Active

Retired * - Date of Retirement _____

Refunded - Date _____

5.) Have you ever participated in DROP? YES NO

If so, please give participation dates: _____

I understand that the LSU System places restrictions on certain rehired retirees. If, after employment, it is determined that I was ineligible for hire, I understand that my employment will be terminated.

Signature: _____

Date: _____

Print Name: _____

Soc. Sec. #: _____

Department: _____

* NOTE: If you are a Retiree from TRSL or LASERS please contact Marie Cole or Ginger Blanchard, HR Benefits Office at 568-7780 for important additional information and documents.



Acknowledgement of Policies

I hereby certify that I have received information on, and I understand that I will be accountable for conducting my duties in the workplace in accordance with the information contained in this packet on the following topics:

- Equal Employment Opportunity Policy
- Americans With Disabilities Act of 1990 Policy
- The Family and Medical Leave Act Policy
- Violence in the Workplace Policy
- Drug Prevention Program Policy
- Drug Testing Program
- Sexual Harassment Policy
- CM-23 Drug Free Workplace Policy
- Discrimination Complaints
- Standards of Conduct and University Sanctions
- Overpayments
- Pre-existing Conditions
- Workers' Compensation
- Deficit Reduction Act.

Legal Name (please print)

Signature

Date of signature

EMPLID (on back of ID badge)

LOUISIANA STATE UNIVERSITY HEALTH SCIENCE SYSTEM

Alien Tax Information Request

All non-U.S. citizens who receive compensation from Louisiana State University Health Science Center must complete this form. The information you provide is used to determine your residency status for the purposes of U.S. tax withholding.

Please print.

1. PERSONAL INFORMATION					
Last Name	First Name	Middle	U.S. Social Security Number		
Street Address (in home country)					
Postal Code	Province/Region	City	Country		
2. STUDENT INFORMATION					
Name of Academic Department					Are you a student? ___ Yes ___ No
If you have attended or currently attending another U.S. educational institution, provide: Name of educational institution: _____ Period of attendance: From _____ To _____ Degree granted (if any): _____					Did you receive tax treaty benefits at another U.S. educational institution during the current year? ___ Yes ___ No
3. IMMIGRATION & ALIEN TAX INFORMATION (Permanent residents with Green Cards may skip section 3.g., but must provide copy of documentation)					
a. Date of first U.S. entry _____		b(1). Visa type upon first U.S. entry _____		b(2) If you arrived on spouse/dependent visa, what was the visa type of the primary visa holder (ex. visa type/student or non-student?) _____	
c. Current Visa type (check appropriate box): ___ F-1 Student ___ F-1 Student (on practical training) ___ F-2 Spouse/Dependent of F-1 ___ H-1 Distinguished Visitor ___ J-1 Student ___ J-1 Student (on "academic training") ___ J-2 Spouse/Dependent of J-1 Student ___ TN-NAFTAA Free Trade ___ Other J-1 Visitor (___ one) ___ Other INS classification (list status): _____ ___ Short-term Visitor ___ Professor ___ Research Scholar ___ Other					d. Country of Birth _____ e. Country of Citizenship _____ f. Country of Residence (for tax purposes) _____
g. Furnish the requested information to detail the number of days you were physically present in the United States during the calendar years listed below. Note: The term "calendar year" refers to the period January 1 to December 31.					
Calendar Year (e.g. 19__)	Number of Days present in U.S. during the year	Date of Entry	Date of Exit Visa	J-1 Subtype (if applicable)	Did you receive tax treaty benefits?
Current Calendar year 2010	_____	_____	_____	_____	___ Yes ___ No
Last Calendar year _____	_____	_____	_____	_____	___ Yes ___ No
Two years ago _____	_____	_____	_____	_____	___ Yes ___ No
Three years ago _____	_____	_____	_____	_____	___ Yes ___ No
Four years ago _____	_____	_____	_____	_____	___ Yes ___ No
Five years ago _____	_____	_____	_____	_____	___ Yes ___ No
Six years ago _____	_____	_____	_____	_____	___ Yes ___ No
RESIDENCE FOR TAX PURPOSES Under Internal Revenue Service definitions, for tax purposes I am considered a ___ RESIDENT ALIEN ___ NONRESIDENT ALIEN					
4. CERTIFICATION OF INFORMATION					
I certify to the best of my knowledge, all of the information I have provided above is true, correct and complete. Also, I understand it is my responsibility to keep my employment authorization documents including passport, IAP-66, I-20, I-688B, or other INS employment authorization current (unexpired) at all times. To avoid being removed from the University payroll, I will inform Payroll of any extensions, renewals, or changes in status by completing an I-9 form in the International Services Office by the expiration date of the employment documentation.					
Signature: _____				Date Completed: _____	



Supplemental Form W-4 Instructions for Nonresident Aliens

Nonresident aliens must follow special instructions when completing Form W-4, Employee's Withholding Allowance Certificate, available at <http://www.irs.gov/pub/irs-pdf/fw4.pdf>, for compensation paid to such individuals as employees performing dependent personal services in the United States. Compensation for dependent personal services includes amounts paid as wages, salaries, fees, bonuses, commissions, compensatory scholarships, fellowship income, and similar designations for amounts paid to an employee.

Are you a nonresident alien? If so, these special instructions apply to you. Resident aliens should follow the instructions on Form W-4.

If you are an alien individual (that is, an individual who is not a U.S. citizen), specific rules apply to determine if you are a resident alien or a nonresident alien for federal income tax purposes. Generally, you are a resident alien if you meet either the "green card test," discussed at <http://www.irs.gov/businesses/small/international/article/0,,id=96314,00.html>, or the "substantial presence test," discussed at <http://www.irs.gov/businesses/small/international/article/0,,id=96352,00.html>, for the calendar year. Any alien individual not meeting either test is generally a nonresident alien. Additionally, a dual-resident alien who applies the so-called "tie-breaker" rules contained within the Resident (or Residence or Fiscal Residence) article of an applicable U.S. income tax treaty in favor of the other Contracting State is treated as a nonresident alien. See Publication 519, U.S. Tax Guide for Aliens, available at <http://www.irs.gov/pub/irs-pdf/p519.pdf>, for more information on the green card test and the substantial presence test.

What compensation is subject to withholding and requires a Form W-4?

Compensation paid to a nonresident alien for performing personal services as an employee in the United States is subject to graduated withholding. Compensation for personal services also includes amounts paid as a scholarship or fellowship grant to the extent it represents payment for past, present, or future services performed as an employee in the United States. Nonresident aliens must complete Form W-4 using the modified instructions provided later, so that employers can withhold the correct amount of U.S. federal income tax from compensation paid for personal services performed in the United States. This Notice modifies the instructions on Form W-4 to take into account the restrictions on a nonresident alien's filing status, the limited number of personal exemptions allowed, and because a nonresident alien cannot claim the standard deduction.

Are there any exceptions to this withholding?

Yes. Nonresident aliens may be exempt from wage withholding on the following amounts.

- Compensation paid to employees of foreign employers if such pay is not more than \$3,000 and the employee is temporarily present in the United States for not more than a total of 90 days during the tax year.
- Compensation paid to regular crew members of a foreign vessel.
- Compensation paid to residents of Canada or Mexico engaged in transportation-related employment.

- Certain compensation paid to residents of American Samoa, Puerto Rico, or the U.S. Virgin Islands.

See Publication 519 to see if you qualify for one of these exemptions.

Nonresident aliens may be exempt from wage withholding on part or all of their compensation for dependent personal services under an income tax treaty. If you are claiming a tax treaty withholding exemption, do not complete Form W-4. Instead, complete Form 8233, Exemption from Withholding on Compensation for Independent (and Certain Dependent) Personal Services of a Nonresident Alien Individual, available at <http://www.irs.gov/pub/irs-pdf/i8233.pdf>, and give it to each withholding agent from whom amounts will be received. Even if you submit Form 8233, the withholding agent may have to withhold tax from your income because the factors on which the treaty exemption is based may not be determinable until after the close of the tax year. In this case, you must file Form 1040NR, U.S. Nonresident Alien Income Tax Return, available at <http://www.irs.gov/pub/irs-pdf/f1040nr.pdf>, (or Form 1040NR-EZ, U.S. Income Tax Return for Certain Nonresident Aliens With No Dependents, available at <http://www.irs.gov/pub/irs-pdf/f1040nre.pdf>, if you qualify) to recover any overwithheld tax and to provide the IRS with proof that you are entitled to the treaty exemption. See Form 8233 and Instructions for Form 8233, available at <http://www.irs.gov/pub/irs-pdf/i8233.pdf>; Publication 901, U.S. Tax Treaties, available at <http://www.irs.gov/pub/irs-pdf/p901.pdf>; and Publication 519 for further information on treaty benefits.

Am I required to file a U.S. tax return even if I am a nonresident alien?

Yes. Nonresident aliens who perform personal services in the United States are considered to be engaged in a trade or business in the United States and generally are required to file Form 1040NR (or Form 1040NR-EZ). However, if your only U.S. trade or business was the performance of personal services and the amount of compensation is less than \$3,650 in 2010 (the personal exemption amount), then you may not need to file Form 1040NR (or Form 1040NR-EZ). Also, you do need to file Form 1040NR (or Form 1040NR-EZ) to claim a refund of any overwithheld taxes. See the Instructions for Form 1040NR, available at <http://www.irs.gov/pub/irs-pdf/f1040nr.pdf>, or the Instructions for Form 1040NR-EZ, available at <http://www.irs.gov/pub/irs-pdf/f1040nre.pdf>, for more information.

Nonresident aliens who are bona fide residents of U.S. possessions should consult Publication 570, Tax Guide for Individuals with Income from U.S. Possessions, available at <http://www.irs.gov/pub/irs-pdf/p570.pdf>, for information on whether compensation is subject to wage withholding in the United States.

Will my withholding amounts be different from withholding for my U.S. co-workers?

Yes. Nonresident aliens cannot claim the standard deduction. In addition, nonresident aliens do not qualify for the Making Work Pay credit. The benefits of the standard deduction and the Making Work Pay credit are included in the existing wage withholding tables published in Publication 15 (Circular E), Employer's Tax Guide, available at <http://www.irs.gov/pub/irs-pdf/p15.pdf>.

Because nonresident aliens do not qualify for these benefits, employers are instructed to withhold an additional amount from a nonresident alien's wages. For more information, see Notice 2009-91, 2009-48 I.R.B. 717, available at http://www.irs.gov/irb/2009-48_IRB/ar10.html. For the specific amounts to be added to wages before application of the wage tables, see Publication 15.

Note. A special rule applies to students and business apprentices from India who are eligible for the benefits of Article 21(2) of the U.S.-India income tax treaty, because such individuals may be entitled to claim an additional withholding allowance for the standard deduction. See Publication 519 for more information.

What are the special Form W-4 instructions?

Nonresident aliens should pay particular attention to the following lines when completing Form W-4.

Line 2. You are required to enter a social security number (SSN) on line 2 of Form W-4. If you do not have an SSN, you must apply for one on Form SS-5, Application for a Social Security Card, available at <http://www.socialsecurity.gov/online/ss-5.pdf>.

You also may get Form SS-5 from any Social Security Administration (SSA) office.

Note. You cannot enter an individual taxpayer identification number (ITIN) on line 2 of Form W-4.

Line 3. Check the single box regardless of your actual marital status.

Line 5. Generally, you should claim one withholding allowance. However, if you are a resident of Canada, Mexico, or South Korea, a student or business apprentice from India, or a U.S. national, you may be able to claim additional withholding allowances for your spouse and children. See Publication 519 for more information.

If you are completing Form W-4 for more than one withholding agent (for example, you have more than one employer), figure the total number of allowances you are entitled to claim and claim no more than that amount on all Forms W-4 combined. Your withholding usually will be most accurate when all allowances are claimed on the Form W-4 for the highest-paying job and zero allowances are claimed on the others.

Line 6. Write "nonresident alien" or "NRA" on the dotted line. If you would like to have an additional amount withheld, enter the amount on line 6.

Line 7. Do not claim that you are exempt from withholding on line 7 of Form W-4 (even if you meet both of the conditions listed on that line).

Instructions

Read all instructions carefully before completing this form.

Anti-Discrimination Notice. It is illegal to discriminate against any individual (other than an alien not authorized to work in the United States) in hiring, discharging, or recruiting or referring for a fee because of that individual's national origin or citizenship status. It is illegal to discriminate against work-authorized individuals. Employers CANNOT specify which document(s) they will accept from an employee. The refusal to hire an individual because the documents presented have a future expiration date may also constitute illegal discrimination. For more information, call the Office of Special Counsel for Immigration Related Unfair Employment Practices at 1-800-255-8155.

What Is the Purpose of This Form?

The purpose of this form is to document that each new employee (both citizen and noncitizen) hired after November 6, 1986, is authorized to work in the United States.

When Should Form I-9 Be Used?

All employees (citizens and noncitizens) hired after November 6, 1986, and working in the United States must complete Form I-9.

Filling Out Form I-9

Section 1, Employee

This part of the form must be completed no later than the time of hire, which is the actual beginning of employment. Providing the Social Security Number is voluntary, except for employees hired by employers participating in the USCIS Electronic Employment Eligibility Verification Program (E-Verify). **The employer is responsible for ensuring that Section 1 is timely and properly completed.**

Noncitizen nationals of the United States are persons born in American Samoa, certain former citizens of the former Trust Territory of the Pacific Islands, and certain children of noncitizen nationals born abroad.

Employers should note the work authorization expiration date (if any) shown in **Section 1**. For employees who indicate an employment authorization expiration date in **Section 1**, employers are required to reverify employment authorization for employment on or before the date shown. Note that some employees may leave the expiration date blank if they are aliens whose work authorization does not expire (e.g., asylees, refugees, certain citizens of the Federated States of Micronesia or the Republic of the Marshall Islands). For such employees, reverification does not apply unless they choose to present

in Section 2 evidence of employment authorization that contains an expiration date (e.g., Employment Authorization Document (Form I-766)).

Preparer/Translator Certification

The Preparer/Translator Certification must be completed if **Section 1** is prepared by a person other than the employee. A preparer/translator may be used only when the employee is unable to complete **Section 1** on his or her own. However, the employee must still sign **Section 1** personally.

Section 2, Employer

For the purpose of completing this form, the term "employer" means all employers including those recruiters and referrers for a fee who are agricultural associations, agricultural employers, or farm labor contractors. Employers must complete **Section 2** by examining evidence of identity and employment authorization within three business days of the date employment begins. However, if an employer hires an individual for less than three business days, **Section 2** must be completed at the time employment begins. Employers cannot specify which document(s) listed on the last page of Form I-9 employees present to establish identity and employment authorization. Employees may present any List A document **OR** a combination of a List B and a List C document.

If an employee is unable to present a required document (or documents), the employee must present an acceptable receipt in lieu of a document listed on the last page of this form. Receipts showing that a person has applied for an initial grant of employment authorization, or for renewal of employment authorization, are not acceptable. Employees must present receipts within three business days of the date employment begins and must present valid replacement documents within 90 days or other specified time.

Employers must record in Section 2:

1. Document title;
2. Issuing authority;
3. Document number;
4. Expiration date, if any; and
5. The date employment begins.

Employers must sign and date the certification in **Section 2**. Employees must present original documents. Employers may, but are not required to, photocopy the document(s) presented. If photocopies are made, they must be made for all new hires. Photocopies may only be used for the verification process and must be retained with Form I-9. **Employers are still responsible for completing and retaining Form I-9.**

For more detailed information, you may refer to the USCIS Handbook for Employers (Form M-274). You may obtain the handbook using the contact information found under the header “USCIS Forms and Information.”

Section 3, Updating and Reverification

Employers must complete **Section 3** when updating and/or reverifying Form I-9. Employers must reverify employment authorization of their employees on or before the work authorization expiration date recorded in **Section 1** (if any). Employers **CANNOT** specify which document(s) they will accept from an employee.

- A.** If an employee’s name has changed at the time this form is being updated/verified, complete Block A.
- B.** If an employee is rehired within three years of the date this form was originally completed and the employee is still authorized to be employed on the same basis as previously indicated on this form (updating), complete Block B and the signature block.
- C.** If an employee is rehired within three years of the date this form was originally completed and the employee’s work authorization has expired **or** if a current employee’s work authorization is about to expire (reverification), complete Block B; and:
 - 1. Examine any document that reflects the employee is authorized to work in the United States (see List **A or C**);
 - 2. Record the document title, document number, and expiration date (if any) in Block C; and
 - 3. Complete the signature block.

Note that for reverification purposes, employers have the option of completing a new Form I-9 instead of completing **Section 3**.

What Is the Filing Fee?

There is no associated filing fee for completing Form I-9. This form is not filed with USCIS or any government agency. Form I-9 must be retained by the employer and made available for inspection by U.S. Government officials as specified in the Privacy Act Notice below.

USCIS Forms and Information

To order USCIS forms, you can download them from our website at www.uscis.gov/forms or call our toll-free number at 1-800-870-3676. You can obtain information about Form I-9 from our website at www.uscis.gov or by calling 1-888-464-4218.

Information about E-Verify, a free and voluntary program that allows participating employers to electronically verify the employment eligibility of their newly hired employees, can be obtained from our website at www.uscis.gov/e-verify or by calling 1-888-464-4218.

General information on immigration laws, regulations, and procedures can be obtained by telephoning our National Customer Service Center at 1-800-375-5283 or visiting our Internet website at www.uscis.gov.

Photocopying and Retaining Form I-9

A blank Form I-9 may be reproduced, provided both sides are copied. The Instructions must be available to all employees completing this form. Employers must retain completed Form I-9s for three years after the date of hire or one year after the date employment ends, whichever is later.

Form I-9 may be signed and retained electronically, as authorized in Department of Homeland Security regulations at 8 CFR 274a.2.

Privacy Act Notice

The authority for collecting this information is the Immigration Reform and Control Act of 1986, Pub. L. 99-603 (8 USC 1324a).

This information is for employers to verify the eligibility of individuals for employment to preclude the unlawful hiring, or recruiting or referring for a fee, of aliens who are not authorized to work in the United States.

This information will be used by employers as a record of their basis for determining eligibility of an employee to work in the United States. The form will be kept by the employer and made available for inspection by authorized officials of the Department of Homeland Security, Department of Labor, and Office of Special Counsel for Immigration-Related Unfair Employment Practices.

Submission of the information required in this form is voluntary. However, an individual may not begin employment unless this form is completed, since employers are subject to civil or criminal penalties if they do not comply with the Immigration Reform and Control Act of 1986.

Paperwork Reduction Act

An agency may not conduct or sponsor an information collection and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The public reporting burden for this collection of information is estimated at 12 minutes per response, including the time for reviewing instructions and completing and submitting the form. Send comments regarding this burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden to: U.S. Citizenship and Immigration Services, Regulatory Management Division, 111 Massachusetts Avenue, N.W., 3rd Floor, Suite 3008, Washington DC 20529-2210. OMB No. 1615-0047. **Do not mail your completed Form I-9 to this address.**

Department of Homeland Security
U.S. Citizenship and Immigration Services

Form I-9, Employment Eligibility Verification

Read instructions carefully before completing this form. The instructions must be available during completion of this form.

ANTI-DISCRIMINATION NOTICE: It is illegal to discriminate against work-authorized individuals. Employers CANNOT specify which document(s) they will accept form an employee. The refusal to hire an individual because the documents have a future expiration date may also constitute illegal discrimination.

Section 1. Employee Information and Verification (To be completed and signed by employee at the time employment begins.)

Print Name: Last First Middle Initial Maiden Name
Address (Street Name and Number) Apt. # Date of Birth (month/day/year)
City State Zip Code Social Security #

I am aware that federal law provides for imprisonment and/or fines for false statements or use of false documents in connection with the completion of this form.
I attest, under penalty of perjury, that I am (check one of the following):
A citizen of the United States
A noncitizen national of the United States (see instructions)
A lawful permanent resident (Alien #)
An alien authorized to work (Alien # or Admission #) until (expiration date, if applicable - month/day/year)

Employee's Signature Date (month/day/year)

Preparer and/or Translator Certification (To be completed and signed if Section 1 is prepared by a person other than the employee.) I attest, under penalty of perjury that I have assisted in the completion of this form and that to the best of my knowledge the information is true and correct.

Preparer's/Translator Signature Print Name
Address (Street Name and Number, City, State, Zip Code) Date (month/day/year)

Section 2. Employer Review and Verification (To be completed and signed by the employer. Examine one document from List A OR examine one document from List B and one from List C, as listed on the reverse of this form. and record the title, number, and expiration date, if any, of the document(s).)

List A OR List B AND List C
Document title:
Issuing authority:
Document #:
Expiration Date (if any):
Document #:
Expiration Date (if any):

CERTIFICATION: I attest, under penalty of perjury, that I have examined the document(s) presented by the above-named employee, that the above-listed document(s) appear to be genuine and to relate to the employee named, that the employee began employment on (month/day/year) and that to the best of my knowledge the employee is authorized to work in the United States. (State employment agencies may omit the date the employee began employment.)

Signature of Employee or Authorized Representative Print Name Title
Business or Organization Name and Address (Street Name, City, State, Zip Code) Date (month/day/year)

Section 3: Updating and Reverification (To be completed and signed by employer.)

A. New Name (if applicable) B. Date of Rehire (month/day/year) (if applicable)

C. If employee's previous grant of work authorization has expired, provide the information below for the document that establishes current employment authorization.
Document Title: Document #: Expiration Date (if any):

I attest, under penalty of perjury, that to the best of my knowledge, this employee is authorized to work in the United States, and if the employee presented document(s), the documents I have examined appear to be genuine and to relate to the individual.

Signature of Employer or Authorized Representative Date (month/day/year)

LISTS OF ACCEPTABLE DOCUMENTS

All documents must be unexpired

LIST A

Documents that Establish Both Identity and Employment Authorization

LIST B

Documents that Establish Identity

LIST C

Documents that Establish Employment Authorization

1. U.S. Passport or U.S. Passport Card	1. Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address	1. Social Security Account Number card other than one that specifies on the face that the issuance of the card does not authorize employment in the United States
2. Permanent Resident Card or Alien Registration Receipt Card (Form I-551)	2. ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address	2. Certification of Birth Abroad issued by the Department of State (Form FS-545)
3. Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine-readable immigrant visa	3. School ID card with a photograph	3. Certification of Report of Birth issued by the Department of State (Form DS-1350)
4. Employment Authorization Document that contains a photograph (Form I-766)	4. Voter's registration card	4. Original or certified copy of birth certificate issued by a State, country, municipal authority, or territory of the United States bearing an official seal
5. In the case of a nonimmigrant alien authorized to work for a specific employer incident to status, a foreign passport with Form I-94 or Form I-94A bearing the same name as the passport and containing an endorsement for the alien's nonimmigrant status, as long as the period of endorsement has not yet expired and the proposed employment is not in conflict with any restrictions or limitations identified on the form.	5. U.S. Military card or draft record	5. Native American tribal document
6. Passport from the Federated States of Micronesia (FSM) or the Republic of the Marshall Islands (RMI) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and the FSM or RMI	6. Military dependent's ID card	6. U.S. Citizen ID Card (Form I-197)
	7. U.S. Coast Guard Merchant Mariner Card	7. Identification Card for Use of Resident Citizen in the United States (Form I-179)
	8. Native American tribal document	8. Employment authorization document issued by the Department of Homeland Security
	9. Driver's license issued by a Canadian government authority	
	For persons under age 18 who are unable to present a document listed above:	
	10. School record or report card	
	11. Clinic, doctor, or hospital record	
	12. Day-care or nursery school record	

Illustrations of many of these documents appear in Part 8 of the Handbook for Employers (M-274)

CM - 57 Policy and Procedure For Recoupment of Overpayments

March 2, 2007

A. Policy:

It is the policy of Louisiana State University Health Sciences Center – New Orleans (LSUHSC – NO) to recoup overpayments made to employees in accordance with La. Rev. Stat. 42:460 as promulgated by the Louisiana Administrative Code Title 4, Part III, Chapter 7.

Overpayments occur when compensation that is not owed to the employee is paid in error. This includes but is not limited to overpayment of wages, annual leave paid in error, and erroneous refunds of deductions. Unearned payments to employees are prohibited by Article 7, Section 14 of the Louisiana State Constitution, which prohibits the donation of public funds. Therefore, LSUHSC – NO is required to recoup overpayments to both active and separated employees.

B. Definitions:

Active Employee - employee currently working for LSUHSC – NO.

Deduction - any voluntary or involuntary reduction in net pay (e.g., health insurance, United Way, taxes).

Direct Deposit Reversal - a formal request to the financial institution to return funds deposited into an account.

Net Pay - the amount of compensation due to the employee after withholding all voluntary and involuntary deductions from the employee's wages and compensation earned.

Overpayment - unearned compensation of any and all funds administered by LSUHSC-NO to employees.

Prospective Employee – a new hire or an employee who has transferred from another state agency to LSUHSC - NO.

Recoupment - reimbursement of overpayment that was not due an employee.

Separated Employee - employee no longer working for LSUHSC - NO.

Wage - payment for services to an employee.

C. Notification of Overpayment:

Employees shall be notified immediately once LSUHSC - NO determines that an overpayment has been made. Written notification (Notification of Overpayment) to the employee will be provided by the Payroll Department of LSUHSC - NO prior to withholding the recoupment from future payments. The Notification of Overpayment to the employee shall include the

following:

1. Pay date(s) the overpayment occurred;
2. Amount of the overpayment;
3. Reason for overpayment;
4. LSUHSC - NO plan of action for recoupment of overpayment;
5. Employee options for reimbursement of overpayment, as appropriate; and
6. Procedure by which the proposed recoupment can be disputed.

D. Plan of Action for Recoupment from Active Employees:

LSUHSC – NO will recoup overpayments made to Active Employees in one of the following ways:

1. Direct deposit reversal.
2. One-time deduction from a subsequent paycheck:
 - A one-time payment will occur from a subsequent check as long as the deducted amount does not bring the employee's bi-weekly gross hourly wage amount below the federal minimum wage unless indicated by written approval from the employee.
3. Payment plan as agreed upon by LSUHSC - NO for a period not to exceed twelve (12) months:
 - The number of recurring deductions will be determined by the Executive Director of Financial Services upon recommendation of the Assistant Director of Human Resource Management (HRM) based on the amount of overpayment, as long as the deducted amount does not bring the employee's biweekly gross hourly wage amount below the federal minimum wage unless indicated by written approval from the employee.
4. Personal payment from employee:
 - Personal payment may be in the form of check or money order made payable to LSUHSC - NO.

If an employee, who has been overpaid is separating or being terminated, the amount of the overpayment shall be withheld from the employee's final paycheck. If the full amount is not

recovered by such withholding, LSUHSC shall proceed under the Plan of Action for Recoupment from Separated Employees, contained in this Policy.

E. Plan of Action for Recoupment from Employees Transferring to Another State Agency:

If an overpaid employee is transferring to another state agency, and LSUHSC – NO has not completed the recoupment process, LSUHSC – NO shall notify the new agency that the employee has an outstanding balance due LSUHSC - NO. LSUHSC - NO shall provide pertinent documentation regarding the details of the overpayment and the recoupment plan established. The two agencies will then work together to determine a reasonable solution for recouping the overpayment from the employee and for transferring funds received at the new agency back to LSUHSC - NO. If a payment plan is established in the payroll system of the new agency, the amount to be recouped in a onetime payment or in recurring payments shall not bring the employee's biweekly gross hourly wage amount below the federal minimum wage, unless the employee agrees to have a larger amount withheld in writing.

F. Plan of Action for Recoupment from Separated Employees:

If an overpayment was made to an employee and recoupment is first attempted after the employee has separated from or terminated employment with LSUHSC – NO, the debt shall not be forgiven. LSUHSC – NO will notify the employee of the overpayment according to guidelines for Notification of Overpayment, contained in this Policy, and repayment will be demanded. The following repayment options are available:

1. One-time personal payment from employee:
 - A one-time personal payment will be required in the form of a check or money order made payable to LSUHSC - NO.

2. Payment plan as agreed upon by LSUHSC – NO for a period not to exceed twelve (12) months:
 - A payment plan of multiple payments may be established by the Executive Director of Financial Services upon recommendation of the Assistant Director of HRM.

If LSUHSC – NO is unable to recover overpayments from a separated employee, the legal department will be consulted to determine if legal recourse is warranted.

G. Notification of Recoupment Policy

All current employees will be notified of this Policy.

As a condition of employment, all prospective employees shall sign a statement acknowledging his/her understanding of the recoupment policy and that, if overpaid, the overpayment may be recouped in a future pay period after notification from LSUHSC - NO in accordance with this Policy. Job offers will be withheld to prospective employees who fail to comply with this Policy.

H. Collection and Reporting of Accounts Receivable

LSUHSC – NO shall handle all applicable reimbursed overpayments in compliance with the policies and procedures for the collection and reporting of accounts receivable which are published in the November 20, 2002 edition of the Louisiana Register.

I. Dispute Procedure

If an employee/separated employee does not agree with LSUHSC – NO's claim of overpayment, the employee/separated employee may file an appeal with HRM. The appeal must be received by HRM no later than fourteen (14) days from the date of Notification of Overpayment from the Payroll Department. The appeal must be in writing, explain why the employee/separated employee believes recoupment is not warranted, and must contain any supporting documentation to be considered for review. The Assistant Director of HRM shall make a recommendation to the Executive Director of Financial Services. The Executive Director of Financial Services or his/her designee shall accept, reject, or modify the recommendation. The decision of the Executive Director of Financial Services shall be final. The decision shall be in writing and sent to the employee/separated employee, and a copy shall be sent to the Payroll Department.

Signed: Larry H. Hollier, Chancellor

**OATH OF AFFIRMATION TO SUPPORT THE
CONSTITUTION OF THE UNITED STATES
AND OF THIS STATE OF LOUISIANA**

“I _____ so solemnly swear (or affirm) that
I will support the Constitution and laws of the United States and the Constitution and laws of this
State; and I will faithfully and impartially discharge and perform all the duties incumbent upon me as
_____ and according to the
best of my ability and understanding. So help me God.”

Signature: _____

Date: _____

Department: _____

LSU Health Sciences Center - New Orleans

Department of Human
Resource Management

Annual Policy Newsletter

Revised May, 2008

Equal Employment Opportunity Policy

The Louisiana State University Health Sciences Center-New Orleans (LSUHSC-NO) recognizes its legal and moral obligations to guarantee equal employment opportunity to all persons in all segments of University life. We also recognize the historical denial of equal opportunity to certain segments of our population. We are, therefore, committed to providing equal opportunity at LSUHSC-NO to fulfill our legal and moral obligations.

It is with genuine concern for all the people that we publicly express our commitment to equal employment opportunity and a diverse workplace. This commitment includes not only providing equity in our present employment practices, but also a commitment to the removal of past barriers that hinder equal employment opportunities.

LSUHSC-NO is committed to this policy because it is our belief that it is morally right, it is good personnel management, and it is

legally required by Title VII of the Civil Rights Act of 1964, as amended by Equal Employment Opportunity Act of 1972, Executive Order Number 11246, the Rehabilitation Act of 1973, as amended, Title IX of the Education Amendment of 1972, the Vietnam Era Veterans Readjustment Assistance Act of 1974, Governor Edwin Edwards' Executive Order Number 13, and Louisiana Fair Employment Practices Act.

LSUHSC-NO will take affirmative action to insure that the following will be implemented at all levels of administration.

1. Base employment decisions so as to further the principles of equal employment opportunity;
2. Ensure that all personnel actions, such as, compensation, tenure, benefits, transfers, layoffs, education, tuition assistance, social and recreational programs are

administered without regard to race, color, religion, sex, age, national origin, handicap or veteran status, or any other non-merit factor.

3. Basic guidelines and methods of achieving the goal of equal employment opportunity will be set forth in the LSUHSC-NO Diversity Program.

Overall responsibility for the reaffirmation of policy and program is the responsibility of the Chancellor's Office. Implementation of the program coordination and monitoring to ensure compliance is the responsibility of Human Resource Management. Any persons having questions regarding this program should contact the Human Resource Management Labor Relations Manager, 568-2029.

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Changes to The Family and Medical Leave Act extends to close relatives of Service Members

On January 28, 2008, President Bush signed into public law, the National Defense Authorization Act (NDAA). The NDAA amends the Family and Medical Leave Act of 1993 (FMLA) to provide eligible employees two new leave rights related to military service:

1) New Leave Entitlement which permits an eligible employee who is the "spouse, son, daughter, parent, or next of kin" to take up to 26 workweeks of

leave to care for a "member of the Armed Forces, including a member of the National Guard or Reserves, who is undergoing medical treatment, recuperation, or therapy, is otherwise in outpatient status, or is otherwise on the temporary disability retired list, for a serious injury or illness."

2) New Qualifying Reason for Leave which permits an eligible employee to take 12 weeks of leave because of "any qualifying exigency" arising out of the fact that

the spouse, or a son, daughter, or parent of the employee is on active duty or has been notified of an impending call or order to active duty in the Armed Forces in support of a contingency operation. By its express terms, this provision of the NDAA is not effective until the Secretary of Labor issues final regulations defining "any qualifying exigency." The Department of Labor has not issued the final regulation. For additional information on the FMLA changes contact the Labor Relation section of Human Resources

Have you seen us on the
web?
www.lsuhscc.edu/no/administration/hrm/



The Family and Medical Leave Act

The Family and Medical Leave Act (FMLA) requires that eligible employees be granted up to 12 weeks per year of unpaid, job protected leave for certain family and medical reasons. The State of Louisiana uses the “rolling year” method to determine the year.

Eligibility

Employees who have worked at least one (1) year and have worked at least 1,250 hours during the preceding 12 month period are eligible for FMLA. For employees not eligible for FMLA, LSUHSC-NO will review business considerations and the individual circumstances involved. Employees will be returned to the same or equivalent positions upon return from FMLA.

Leave

FMLA leave will consist of, and run concurrently with, appropriate accrued paid leave and unpaid leave. If leave is requested for an employee’s own serious health condition, the employee must first use all of his/her accrued paid sick and annual leave. If leave is requested for reasons other than one’s own health condition, the employee must first use all of his/her accrued annual leave. The remainder of the leave period will consist of unpaid leave. All leave, whether paid annual, paid sick, or unpaid, will also be recorded as FMLA.

Notice and Medical Certification

In all cases, an employee requesting FMLA must complete an “Application for Leave” form indicating that the intended leave is FMLA. Additionally, the employee is required to submit a completed “Certification of Physician or Practitioner” form.

An employee intending to take FMLA because of an expected or planned event, must submit an application for leave 30 days in advance of the leave, or as soon as the necessity for the leave arises.

When it is impossible, due to medical necessity, to provide advance notice, the leave will be granted conditionally based upon the information provided by the employee. Final approval or denial will be given upon receipt of the “Certification of Physician or Practitioner” form.

The law requires that the employer record leave as FMLA (even when the employee has not requested FMLA) when the employer has information that the absence is due to a qualifying event under FMLA.

Any additional information on the FMLA policy can be obtained from the Labor Relations Section of Human Resources , (504) 568-3916. The Family Medical Leave Act may be accessed through the LSUHSC-NO website (LSUHSC Policies-CM-50). <http://www.lsuhsu.edu/no/administration/cm/cm-50.aspx>



Americans With Disabilities Act of 1990 Policy

LSUHSC-NO is an equal opportunity employer and makes employment decisions on the basis of merit. We want to have the best available persons in every job. The LSUHSC-NO policy prohibits unlawful discrimination based on race, color, creed, sex, age, national origin, physical handicap, disability, medical condition or any other consideration made unlawful by federal, state, or local laws. All such discrimination is unlawful. To comply with applicable laws insuring equal employment opportunities to

qualified individuals with disabilities, LSUHSC-NO will make reasonable accommodations for the known physical or mental limitations of an otherwise qualified individual with a disability who is an applicant or an employee unless undue hardship would result. Any applicant or employee who requires an accommodation in order to perform the essential functions of the job should contact their supervisor or the Department of Human Resource Management Labor Relations Manager, (504) 568-3916 and request such an

accommodation. The individual with the disability should specify what accommodation he/she needs to perform the job.

For more information visit the Human Resources Management website at www.lsuhsu.edu/no/administration/hrm/labor%20relations/ada or the LSUHSC-NO Policies website (CM-26)

Discrimination Complaints

**Make
TRUST DIGNITY
RESPECT
The
Foundation of Our
Workplace**

If you believe you have been subjected to any form of unlawful discrimination, provide a written complaint to the Department of Human Resource Management. If the complaint relates to personnel of the Department of Human Resource Management, submit the complaint to the Vice Chancellor for Administration and Finance. Your complaint should be specific and include the names of individuals involved and the names of witnesses. LSUHSC-NO will immediately undertake an effective, thorough, and objective investigation and

attempt to resolve the situation. If LSUHSC-NO determines that unlawful discrimination has occurred, effective remedial action will be taken to deter any future discrimination. Whatever action is taken will be made known to you and LSUHSC-NO will take appropriate action to remedy any loss to you as a result of the discrimination. LSUHSC-NO will not retaliate against you for filing a complaint and will not willingly permit retaliation by management, employees or coworkers.

Questions or concerns should be directed to Human Resources Labor Relations (504) 568-3916.



Sexual Harassment

The policy of LSUHSC-NO always has been that all employees should be able to enjoy a work environment free from all forms of discrimination, including sexual harassment.

Sexual harassment is a form of misconduct which undermines the integrity of the employment relationship. No employee...either male or female... should be subjected to unsolicited and unwelcome sexual overtures or conduct, either verbal or physical. Sexual harassment does not refer to the occasional compliments of a socially acceptable nature. It refers to behavior which is not welcome, which therefore interferes with our work effectiveness.

Such conduct, whether committed by supervisors or non-supervisory personnel, is specifically prohibited. This includes: repeated offensive sexual flirtations, advances or propositions, graphic or degrading verbal comments about an individual or his/her appearance, the display of

sexually suggestive objects or pictures, or any offensive or abusive physical conduct.

Accusations of sexual harassment which are found to be valid may subject the individual (s) involved to severe disciplinary action or termination of employment.

In addition, no one should imply or threaten that an applicant's or employee's "cooperation" of a sexual nature (or refusal thereof) will have any effect on the individual's employment, assignment, compensation, advancement, career development, or any other condition of employment.

Any questions regarding either this policy or a specific fact situation should be addressed to the appropriate supervisor, Director of Human Resource Management, or Labor Relations Manager. The Sexual Harassment policy –CM49 may be accessed through the LSUHSC website at <http://www.lsuhs.edu/no/administration/cm/cm-49.aspx>

Sexual harassment is based on how the person being harassed is affected, not on the harasser's intent.

**IMPORTANT: TAKE ALL THREATS
SERIOUSLY****Violence in the Workplace**

LSUHSC-NO recognizes that employees are its most valuable resource. Every employee has a reasonable expectation to perform his/her assigned duties in an atmosphere free of threats and assaults. LSUHSC-NO will take positive action to ensure that the following is implemented throughout all work environments within its jurisdiction:

1. The commitment of management and employees to promote a positive, respectful, and safe work environment that fosters employees' security, safety, and health.
2. Zero tolerance for the occurrence of violence, aggressive acts, verbal or non-verbal threatening behavior and harassment in

the workplace.

3. Eliminating and prohibiting acts of threats of violence, by or against employees at all work sites and wherever LSUHSC-NO business is conducted.
4. Minimize the chance of exposure of employees to violent, threatening, or harassing situations by implementing effective security measures, procedures, and practices.
5. Educate employees to increase awareness about health, and safety concerns, and train them how to properly respond in the event a violent, threatening, or harassing situation occurs.

Maintaining a violence-free

workplace requires the commitment, involvement, and cooperation of management and employees. Persons who fail to adhere to the violence-free workplace policy are subject to administrative disciplinary action.

Employees are required to report to the appropriate supervisor, Department Head, or University Police all threats or incidents of violent behavior in the workplace which they observe or of which they are informed. Examples of inappropriate behavior which shall be reported include (but not limited to):

- Unwelcome name-calling, obscene language, and other abusive behavior.
- Intimidation through direct or veiled verbal threats.

- Physically touching another employee in an intimidating, malicious, or sexually harassing manner, including such acts as hitting, slapping, poking, kicking, pinching, grabbing, and pushing.
- Physically intimidating others including such acts as obscene gestures, "getting in your face," fist-shaking, throwing any object.

If a situation is dangerous contact University Police at 568-8999; or local police at 821-2222; or 911.

The *Violence in the Workplace Prevention Plan CM-44* can be accessed through the LSUHSC-NO website <http://www.lsuhs.edu/no/administration/cm/cm-44.aspx>

Federal False Claims Act

The False Claims Act, 31 USC § 3279 is a federal statute that covers fraud involving any federally funded contract or program, including the Medicaid and Medicare programs. This act is commonly known as the "Lincoln Law" because it was first enacted to counter fraudulent activities involving military procurement during the Civil War. The act establishes liability for any person who knowingly presents or causes to be presented a false or fraudulent claim to the U.S. government for payment

Health care providers and suppliers who violate the False Claims Act can be subject to civil monetary penalties (CMP) ranging from \$5,500 to \$11,000 for each false claim submitted, can be required to pay three times the amount of damages

sustained by the U.S. government and if convicted of a False Claims Act violation, the OIG may seek to exclude the provider or supplier from participation in federal health care programs.

"Qui Tam "Whistleblower" provisions encourage individuals to come forward and report misconduct involving false claims. The False Claims Act includes a "qui tam" or whistleblower provision."

It allows any person with actual knowledge of allegedly false claims to the government. Such persons are known as a "relators." By way of example, the U.S. Department of Justice reports that the federal government obtained more than \$1.4 billion in settlements and judgments for fraud committed against the government in 2004-

2005.

Qui Tam Procedure

The relator must file his or her lawsuit on behalf of the government in a federal district court. The lawsuit will be filed "under seal," meaning that the lawsuit is kept confidential while the government reviews and investigates the allegations contained in the lawsuit and decides how to proceed. If the government determines that the lawsuit has merit and decides to intervene, the prosecution of the lawsuit will be directed by the U.S. Department of Justice. If the government decides not to intervene, the whistleblower can continue with the lawsuit on his or her own. If the lawsuit is successful, and provided certain legal requirements are met, the qui tam relator may receive an award ranging from 15 to 30

percent of the amount recovered. The whistleblower may also be entitled to reasonable expenses including attorney's fees and costs for bringing the lawsuit. In addition to a financial award, the False Claims Act entitles whistleblowers to additional relief, including employment reinstatement, back pay, and any other compensation arising from retaliatory conduct against a whistleblower for filing an action under the False Claims Act or committing other lawful acts, such as investigating a false claim or providing testimony for, or assistance in, a False Claim Act action.

Louisiana State Law

Under Louisiana state law, the definition of a false or fraudulent claim is slightly broader, at LSA R.S. 46.437.--, "(8) "False or fraudulent claim" means a claim which the health care provider

Federal False Claims Act Continued...

or his billing agent submits knowing the claim to be false, fictitious, untrue, or misleading in regard to any material information. “

Just as with the federal whistleblower statute, under Louisiana state law, “a private person (“Qui Tam plaintiff) may institute a civil action (“Qui Tam Action”) in the courts of this state on behalf of the medical assistance programs and himself to seek recovery

A person who is or was a public employee or public official or a person who is or was acting on behalf of the state shall not bring a qui tam action if the person has or had a duty or obligation to report, investigate, or pursue allegations of wrongdoing or misconduct by health care providers, or had access to the records of the state through the normal course and scope of his employment relative to activities of health care providers.

No employer of a qui tam plaintiff shall discharge, demote, suspend, threaten, harass, or discriminate against a qui tam plaintiff at any time arising out of the fact that the qui tam plaintiff brought an action pursuant to this Subpart unless the court finds that the qui tam plaintiff has instituted or proceeded with an action that is frivolous, vexatious, or harassing.

No employee shall be discharged, demoted, suspended, threatened, harassed, or discriminated against in any manner in the terms and conditions of his employment because of any lawful act engaged in by the employee or on behalf of the employee in furtherance of any action taken pursuant to this Part in regard to a health care provider or other person from whom recovery is or could be sought. Such an employee may seek any and all relief for his injury to which he is entitled under state or federal law.

No individual shall be threatened, harassed, or discriminated against in any manner by a health care provider or other person because of any lawful act engaged in by the individual or on behalf of the individual in furtherance of any action taken pursuant to this Part in regard to a health care provider or other person from whom recovery is or could be sought except that a health care provider may arrange for a recipient to receive goods, services, or supplies from another health care provider if the recipient agrees and the arrangement is approved by the secretary. Such an individual may seek any and all relief for his injury to which he is entitled under state or federal law.

Generally, if the secretary or the attorney general intervenes in the action brought by a qui tam plaintiff, the qui tam plaintiff shall receive at least ten percent, but not more than twenty percent, of recovery, exclusive of the civil monetary penalty provided in R.S. 46:439.6(C). In making a determination of award to the qui tam plaintiff the court shall consider the extent to which the qui tam plaintiff substantially contributed to investigations and proceedings related to the qui tam action.

State law provides that there may be a reward of up to two thousand dollars to an individual who submits information to the secretary which results in recovery pursuant to the provisions of this Part, provided such individual is not himself subject to recovery under this Part.

Louisiana State False Claims penalties include payment of actual damages, civil fine not to exceed 10,000 dollars per violation or a civil fine not to exceed three times the value of the illegal remuneration, whichever is GREATER, and payment of interest on the mandatory civil fine imposed.

LSUHSC_NO's DRA Notice
<http://www.lsuhs.edu/no/administration/ocp/dranotice.aspx>

LSUHSC-NO's Whistleblower Policy
<http://www.lsuhs.edu/no/administration/cm/cm-53/PatientInformationpolicy5.aspx>



Phone: (504) 568-2350

Hotline: (855) 561-4099

Fax: (504) 568-7399

LSUHSC Drug Testing Program

It is the policy of LSUHSC-NO to promote and safeguard the workplace from consequences of alcohol and drug use. Statistics show that approximately 60 percent of all illegal drug users are employed either full or part-time.

The purpose of implementing a drug testing program is threefold:

1. Consistently provide the highest quality service to patients and customers.
2. Comply with the Federal Drug Free Workplace Act of 1988.
3. Provide a safe and healthy environment for patients, employees, visitors, and all members of the community.

What are the Different Types of Testing?

There are basically 5 different types of urine testing and one type of alcohol testing at LSUHSC-NO.

Types of Urine Drug Testing:

Post Job Offer

Post-job offer testing is a requirement for all applicants that have completed the interview process and have been offered a position contingent upon a negative drug test result.

Reasonable Suspicion/For Cause

Any individual may be tested who is suspected of being under the influence of alcohol, legal and/or illegal drugs. Suspicion is based on observable behavior, physical symptoms, and/or evidence of drug tampering or physical symptoms, and/or evidence of drug tampering or misappropriation.

Periodic Monitoring/After Case

Upon the completion of an outpatient or inpatient treatment program for substance abuse, the employee will be required to submit to periodic and/or aftercare testing and monitoring.

Post-Accident

Any individual involved in a job-related accident, and who is suspected of drug or alcohol use will be subjected to a urine drug test as soon as possible.

Random

In accordance with State law, employees whose principal responsibility is to operate public vehicles, maintain public vehicles, or supervise any employee who drives or maintains public vehicles are subject to random drug testing.

Breath Alcohol Testing

The devices used for breath alcohol testing measure alcohol concentration in breath. Breath alcohol testing is done for reasonable suspicion/for cause, periodic monitoring/aftercare, post-accident, and random. Trained Breath Alcohol Technicians conduct the breath tests.

What are the Testing

Methods?

Enzyme Multiplied Immunoassay Technique (EMIT) is used for preliminary or initial screening on urine drug tests. A positive EMIT test result will undergo Gas Chromatography/Mass Spectrometry (GC/MS) for confirmation. This combination of tests is sensitive, specific, and can identify all types of drugs in any body fluid. All alcohol breath tests are subject to a confirmation test on an evidential breath test device according to Department of Transportation regulations when the result of the screening test is 0.020 or greater.

May I Challenge a Positive Result?

Yes, you may challenge a positive urine drug test result. Once you have been notified of a positive drug test result by either the Medical Review Officer (MRO) or the Drug Testing Coordinator, you must: 1) provide the Human Resource Management Department and the MRO a written request to retest the original specimen, 2) provide the MRO a written explanation for the legitimate use of any drug(s) and, 3) have the MRO receive the repeat test results within 10 working days of the initial notification of a verified positive test.

Retesting is done on the original specimen and must be requested by the MRO. Testing is done at the expense of the client and must be performed at a NIDA or CAP-FUDT certified laboratory.

The results of alcohol testing are available immediately. All positive screening tests will be confirmed in the individual's presence.

Standards of Conduct and University Sanctions

The unlawful possession, use, manufacture, distribution or dispensation of illicit drugs or alcohol on LSUHSC-NO property, in the workplace by any employee or student of LSUHSC-NO, or as any part of any functions or activities by any employee or student of LSUHSC-NO is prohibited.

Violations of the LSUHSC Standards of Conduct by individuals covered under this policy will result in disciplinary action. Depending on the nature of the offense, disciplinary action can take the form of a written reprimand, suspension, demotion, reduction in pay, or termination of the

individual's association with LSUHSC-NO and referral for prosecution by civil authorities in accordance with local, State, and Federal law.

Campus Assistance
is located in
Nursing / Allied Health
Bldg
1900 Gravier Street
7th floor Room 745
New Orleans, LA 70112
568-8888

Invitation for Self Identification

LSU Health Sciences Center-New Orleans is a Federal Contractor subject to the requirements of the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended (38USC 2012), and to the requirements of Section 503 of the Rehabilitation Act of 1973 as amended, and their implementing regulations.

If you are a person with a disability, a special disabled veteran, or a veteran of the Vietnam era, please tell us. Provision of this information is voluntary. If you do not wish to identify yourself at this time as a person with a disability, a special disabled veteran, or veteran of the Vietnam era, you will not be subject to any adverse treatment.

If you do wish to identify yourself, the information provided will be

used only in accordance with the Acts and the regulations.

PERSONS wishing to self identify may access the INVITATION FOR SELF IDENTIFICATION at <http://www.lsuhscc.edu/no/Administration/hrm/Forms/INVITATION%20FOR%20SELF%20IDENTIFICATION.pdf>.

The completed form should be submitted to Human Resources, Labor Relations section located at 433 Bolivar, Room 603, New Orleans, LA 70112. The information provided will be kept confidential, except that:

A. Supervisors and managers may be informed of any restrictions of work or duties of persons with disabilities or special disabled veterans, and of any necessary accommodations;

of persons with disabilities or special disabled veterans, and of any necessary accommodations;

B. First aid and safety personnel may be informed, when and to the extent appropriate, if particular handicap or disability may require emergency treatment;

C. Government officials investigating compliance with the Acts shall be informed.

Any questions regarding the Invitation for Self Identification should be directed to the Labor Relations section of Human Resource Management at (504) 568-3916.



Post Offer, Pre-existing Conditions, Injuries or Illnesses Medical Inquiry Worker's Compensation

LSUHSC-NO is committed to providing Workers' Compensation benefits, in accordance with Louisiana R.S. 23:1208.1 of the Workers' Compensation Law, if an employee sustains an employment-related injury. The Post Offer, Pre-existing Conditions, Injuries or Illnesses Medical Inquiry (E-2) form request medical information and will be kept confidential and separate from your personnel file. It will be used only in the event you experience a work-related injury and become eligible for Workers' Compensation benefits.

In accordance with Louisiana R.S. 23:1208.1 of the Workers' Compensation Law, LSUHSC-NO requires that all employees

complete this questionnaire upon hire and every two years thereafter. The information is needed because if a work-related injury or disability is caused or made worse by a pre-existing condition, LSUHSC-NO may be able to seek reimbursement of the benefits paid from the Louisiana Second Injury Fund. This reimbursement would not reduce an employee's workers' compensation benefits. In order to be considered for reimbursement, an employer must show it knowingly hired or knowingly retained an employee with a pre-existing disability. Disclosure of a pre-existing condition shall not be used for any discriminatory purpose.

FAILURE TO ANSWER TRUTHFULLY ANY OF THE QUESTIONS ON THE (E-2) FORM MAY RESULT IN THE FORFEITURE OF WORKERS' COMPENSATION BENEFITS UNDER LA. R.S. 23:1208.1.

The Post Offer, Pre-existing Conditions, Injuries or Illnesses Medical Inquiry (E-2) form may be downloaded at <http://www.lsuhscc.edu/no/administration/hrm/Forms.aspx>. Every two years and upon hire each employee must submit a completed form to Human Resource Management, attention Labor Relation, in a sealed envelope with label including your full

name, employee Identification number, and department.

Any questions regarding the Post Offer, Pre-existing Conditions, Injuries or Illnesses Medical Inquiry (E-2) form should be addressed to the Assistant Director of Human Resource Management (504) 568-4834.

Overpayments

Louisiana State University Health Sciences Center – New Orleans (LSUHSC – NO) is required to recoup overpayments from both active and separated employees.

It is the policy of Louisiana State University Health Sciences Center – New Orleans (LSUHSC – NO) to recoup overpayments made to employees in accordance with La. Rev. Stat. 42:460 as promulgated by the Louisiana Administrative Code Title 4, Part III, Chapter 7. Overpayments occur when compensation that is not owed to the employee is paid in error. This includes but is not limited to overpayment of wages, annual leave paid in error, and erroneous refunds of deductions. Unearned payments to employees are prohibited by Article 7, Section 14 of the Louisiana State Constitution, which prohibits the donation of

public funds. Therefore, LSUHSC – NO is required to recoup overpayments to both active and separated employees.

For more information on CM-57 Policy and Procedure for Recoupment of Overpayment go to

<http://www.lsuhscc.edu/no/administration/cm/cm-57.aspx>

Return to Work

Louisiana State University System provides workers' compensation benefits to its faculty and staff in accordance with Louisiana law. This coverage includes the University's modified duty program designed to encourage employees, who have been released to perform work with limitations to return to work.

LSU will make reasonable efforts to place the returning employee into a meaningful assignment, which he/she can perform while on modified duty on a temporary basis. LSU cannot guarantee placement and is under no obligation to offer, create, or encumber any specific position for purposes of offering placement.

Applicability

This policy only applies to permanent employees of LSU who are on leave as a result of work related injuries or illnesses and who are receiving workers' compensation benefits.

Modified Work Requirements

For work to be considered suitable modified employment, specific condition must be met. For a list of conditions and more information on PM-70 Return to Work Policy for Employees on Workers' Compensation visit <http://lsuhsc.edu/no/administration/pm/pm-70.aspx> or call Human Resources Labor Relations at (504) 568-3916.



Worker's Compensation

Worker's Compensation coverage is provided to LSUHSC-NO employees through the Office of Risk Management, Office of Workers' Compensation, Baton Rouge, Louisiana. It is the responsibility of each employee to report to their supervisor and/or designated departmental liaison any occupational injury or disease, even if it is deemed to be minor. An injured employee must give notice to the University within thirty (30) days of the injury to be eligible for Worker's Compensation benefits.

When an occupational injury results in an employee being away from work for a period of seven (7) calendar days or more, the department must notify the Employee/Labor Relations office via telephone (504) 568-3916 immediately so that compensation for any lost wages

the employee may incur can be filed.

If a serious injury occurs on the job, it is necessary for your department to notify Human Resource Management/Labor Relations via telephone at (504) 568-3916 **immediately**.

The [Employer's Report of Injury/Illness](#) should then be completed and sent to Human Resource Management Labor Relations, 433 Bolivar St, New Orleans, LA 70112. For access to the Employer's Report of Injury/Illness form, go to <http://www.lsuhscc.edu/no/Administration/hrm/Forms/WorkersComp.xls>

Please note, when an employee reports an injury or disease to a **supervisor**, it becomes the responsibility of the **supervisor** to submit the *Employer's Report of Injury/Illness* to Human

Resource Management as soon as possible. Failure to report in a timely fashion may result in a \$500 fine being levied against LSU Health Sciences Center. Your cooperation is needed to insure that no penalties are incurred and to insure that employees interests are protected.

When completing the *Employer's Report of Injury/Illness*, please note that the hourly time must be indicated on the form. Also, if the employee has not returned to work at the time the form is completed, please indicate that fact and telephone Human Resource Management/Labor Relations at (504) 568-3916 the day the employee returns to work.

Bills or receipts for all medical expenses associated with injuries covered by Worker's

Compensation are to be forwarded to Labor Relations, Human Resource Management promptly for further processing for payment.

When a minor injury occurs and no medical costs will be incurred, the Office of Risk Management/Unit of Risk Analysis and Loss Prevention Incident/Accident Investigation Form should be completed. It can be downloaded from the LSUHSC Homepage - Intranet - LSUHSC Forms - Adobe PDF Formats.

<http://www.lsuhscc.edu/no/Administration/hrm/Forms/ACCIDENT.doc>

To report an injury or to gain further information on the program, please contact Paulette Albera at (504) 568-3916.